Attorney Docket No.: 02307O-087900 Client Reference No.: 98-009-1

## **DECLARATION**

As a below named inventor, I declare that	As a belov	named	inventor,	i declare	tnat
---	------------	-------	-----------	-----------	------

Application No.

inventor (it subject ma	f only one name is listed better which is claimed and EACTIVITY AND USES	pelow) or an original, first for which a patent is soug	and joint inventor (if pluth that on the invention entitle ation of which X is a	pelieve I am the original, firal inventors are named be ed: ANTIGENIC EPITOI attached hereto or w(if applicable).	low) of the
amendment application 35, United identified by	t referred to above. I acl in accordance with Title 3 States Code, Section 119	knowledge the duty to dis 37, Code of Federal Regula of any foreign application(	close information which tions, Section 1.56. I clai s) for patent or inventor's	uding the claims, as amen is material to the examina im foreign priority benefits certificate listed below an g date before that of the ap	tion of this under Title d have also
Prior Fore	ign Application(s)			Priority Claimed Under	1
	Country	Application No.	Date of Filing	35 USC 119	
CO CO Landary Clarker Charles	aim the benefit under Title	35, United States Code § 1	19(e) of any United States	provisional application(s) l	isted below:
and design and the second seco	Ap	plication No.	Filing Da	te	
				plication(s) listed below and	
				Inited States application in	
				owledge the duty to disclored between the filing date	
		ternational filing date of th		ted between the thing date	or the prior

Status

Date of Filing

ClientReference No.: 98-009-1

Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 1:	ROSE	LARRY	M.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Carmichael	US	US	
Post Office	Post Office Address:	City:	State/Country: Postal Co	de:
Address:	5467 Wildflower Circle	Carmichael	CA 95608	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 2:	MEARES	CLAUDE	F.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Davis	CA	US	
Post Office	Post Office Address:	City:	State/Country: Postal Co	ode:
Address:	421 Encina Avenue	Davis	CA 95616	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 3:	O'DONNELL	ROBERT	Т.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:			US	
Post Office	Post Office Address:	City:	State/Country: Postal Co	ode:
Address:	<u></u>			

Inturther declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
LARRY M. ROSE	CLAUDE F. MEARES	ROBERT T. O'DONNELL
Date	Date	Date

173368v1

.ttorney Docket No.: 02307O-087900 Client Reference No.: 98-009-1

#### **DECLARATION**

As a belo	ow named	inventor,	I	declare	that
-----------	----------	-----------	---	---------	------

inventor (if subject mat LYM-1 RE	only one name is listed better which is claimed and	elow) or an original, first for which a patent is sought THEREOF the specifications.	and joint inventor (if plu ht on the invention entitle on of which is attached	believe I am the original, first and sole aral inventors are named below) of the led: <b>ANTIGENIC EPITOPES WITH</b> ed hereto or <u>X</u> was filed on October able).
amendment application 35, United identified by which prior	t referred to above. I ack in accordance with Title 3 States Code, Section 119 below any foreign application rity is claimed.	mowledge the duty to disc 7, Code of Federal Regula of any foreign application(	close information which tions, Section 1.56. I cla s) for patent or inventor'	luding the claims, as amended by any is material to the examination of this tim foreign priority benefits under Title s certificate listed below and have also g date before that of the application on
Prior Fore	ign Application(s)			Priority Claimed Under
	Country	Application No.	Date of Filing	35 USC 119
and females		35, United States Code § 1	19(e) of any United States Filing D	s provisional application(s) listed below:
			20 of any United States	polication(s) listed below and insofar as

Lelaim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
**		

orney Docket No.: 02307O-08 7 900 Client Reference No.: 98-009-1

Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 1:	ROSE	LARRY	M.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Carmichael	US	US
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	5467 Wildflower Circle	Carmichael	CA 95608
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 2:	MEARES	CLAUDE	F.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Davis	CA	US
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	421 Encina Avenue	Davis	CA 95616
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 3:	O'DONNELL	ROBERT	T.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:			US
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:			

If the declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
LARRY M. ROSE	CLAUDE F. MEARES	ROBERT T. O'DONNELL
Date 1/6/99	Date	Date

173368v1

Lttorney Docket No.: 02307O-087900 Client Reference No.: 98-009-1

#### **DECLARATION**

As	a belo	v named	inventor,	I	declare	that
----	--------	---------	-----------	---	---------	------

inventor (if subject matter LYM-1 REA	only one name is listed to er which is claimed and ACTIVITY AND USES	below) or an original, first a for which a patent is sough	and joint inventor (if plunt on the invention entitle on of which is attached	believe I am the original, fir tral inventors are named belied: ANTIGENIC EPITOP and hereto or X was filed able).	low) of the ES WITH
amendment application i 35, United S identified be which priori	referred to above. I ac n accordance with Title is states Code, Section 119 slow any foreign applicat ty is claimed.	knowledge the duty to disc 37, Code of Federal Regulat of any foreign application(s	close information which tions, Section 1.56. I cla s) for patent or inventor'	luding the claims, as amend is material to the examinat im foreign priority benefits s certificate listed below and g date before that of the app	tion of this under Title d have also
Prior Foreig	gn Application(s)			Priority Claimed Under	
	Country	Application No.	Date of Filing	35 USC 119	
Thereby clair	im the benefit under Title	35, United States Code § 11	9(e) of any United States	s provisional application(s) li	sted below:
=	AŢ	oplication No.	Filing D	ate	
	!				
TO STATE OF					
	anofit under Title 35. Ur	uited States Code, Section 12	20 of any United States at	oplication(s) listed below and	d. insofar as
amorann me t	bettern miner i ine 33, Or	inca diales codo, decidir 12	or any children cares up	7 1 1 0	41

Eclaim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
F		

....orney Docket No.: 02307O-08 7 900 Client Reference No.: 98-009-1

Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 1:	ROSE	LARRY	M.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Carmichael	US	US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:	5467 Wildflower Circle	Carmichael	CA 95608	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 2:	MEARES	CLAUDE	F.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Davis	CA	US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:	421 Encina Avenue	Davis	CA 95616	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 3:	O'DONNELL	ROBERT	T.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:			US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:			·	

further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the the so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
LARRY M. ROSE	CLAUDE F. MEARES	ROBERT T. O'DONNELL
Date	Date /2-15-51	Date

Attorney Docket No.: 02307O-087900 Client Reference No.: 98-009-1

## **DECLARATION**

As a below named inventor, I declare	As a	A٥	s a below	named	inventor,	1	declare	tnat
--------------------------------------	------	----	-----------	-------	-----------	---	---------	------

inventor (if subject matt LYM-1 RE	only one name is listed the which is claimed and the ACTIVITY AND USES	elow) or an original, first for which a patent is soug	and joint inventor (if plu ht on the invention entition on of which is attached	believe I am the original, firal inventors are named beled: ANTIGENIC EPITORED hereto or X was filed able).	low) of the PES WITH
amendment application 35, United identified be which prior	referred to above. I ack in accordance with Title 3 States Code, Section 119 elow any foreign application ity is claimed.	mowledge the duty to dis 7, Code of Federal Regula of any foreign application(	close information which tions, Section 1.56. I cla s) for patent or inventor'	luding the claims, as amen is material to the examina tim foreign priority benefits a certificate listed below an g date before that of the appropriate that the second control of	tion of this under Title d have also
Prior Forei	gn Application(s)		<u></u>	. Priority Claimed Under	1
-	Country	Application No.	Date of Filing	35 USC 119	
of the control of the					
de v v v v v v v v v v v v v v v v v v v					
	im the benefit under Title	35 United States Code 8 1	19(e) of any United States	provisional application(s)	sted below:
	mi mo odnom unadi 11110	, can com com g 1	es (v) or any control control	, p. 0 ,	
		1	7::: 5		
	Ap	plication No.	Filing Date		
-					
202				<del></del>	
22		tad States Code Section 11	20 of any United States or	oplication(s) listed below and	d incofor ac
				United States application in	
provided by	y the first paragraph of	Title 35, United States Co	ode, Section 112, I ackn	owledge the duty to disclo	se material
				rred between the filing date	of the prior
application	and the national of PC1 in	ternational filing date of th	is application:		•
ĺ					]
	Application No.	Date of Filing	S	tatus	
j					
l		L			Ţ

orney Docket No.: 02307O-08 7 900 Client Reference No.: 98-009-1

Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 1:	ROSE	LARRY	M.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Carmichael	. US	US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:	5467 Wildflower Circle	Carmichael	CA 95608	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 2:	MEARES	CLAUDE	F.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Davis	CA	US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:	421 Encina Avenue	Davis	CA 95616	
Full Name of	Last Name:	First Name:	Middle Name or Initial:	
Inventor 3:	O'DONNELL	ROBERT	T.	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:			US	
Post Office	Post Office Address:	City:	State/Country: Postal Code:	
Address:				

In the declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
LARRY M. ROSE	CLAUDE F. MEARES	ROBERT T. O'DONNELL
Date	Date	Date 11/1/98

173368v1

.ttorney Docket No.: 02307O-087900 Client Reference No.: 98-009-1

### DECLARATION

As a	below	named	inventor,	I	declare	that:
------	-------	-------	-----------	---	---------	-------

inventor (if subject matter LVM-1 RE)	only one name is listed be er which is claimed and f ACTIVITY AND USES	elow) or an original, first a or which a patent is sough	nd joint inventor (if pluing to a the invention entitle in of which is attached to the invention of which is attached to the invention of which is attached to the invention of the inve	pelieve I am the original, first and sole ral inventors are named below) of the ed: ANTIGENIC EPITOPES WITH d hereto or X was filed on Octoberable).	
amendment application i 35, United S identified be which priori	referred to above. I ack in accordance with Title 3	nowledge the duty to disc 7, Code of Federal Regulat of any foreign application(s	lose information which ions, Section 1.56. I claim for natent or inventor's	uding the claims, as amended by any is material to the examination of this im foreign priority benefits under Titles certificate listed below and have also a date before that of the application on	; ;
ſ			D. L. SPILL-	Priority Claimed Under 35 USC 119	
T money	Country	Application No.	Date of Filing	33 030 119	
The state of the s					
		<u> </u>			
in Manager and the second and the se					
2					
I hereby cla	im the benefit under Title	35, United States Code § 11	19(e) of any United States	s provisional application(s) listed below	•
i					
ne official in the proof of the control of the cont	Application No.		Filing Date		
	- Ap	phoduod 110.			
A PERSON TARGET AND THE STATE OF THE STATE O					
tool Tool	<u> </u>				

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Client Reference No.: 98-009-1

Full Name of Inventor 1:	Last Name: ROSE	First Name:	Middle Name or Initial:
Residence & Cirizenship:	City: Carmichael	LARRY State/Foreign Country:	M.
Post Office Address:	Post Office Address:	City:	Country of Citizenship: US
	5467 Wildflower Circle	Carmichael	State/Country: Postal Code: CA 95608
Full Name of Inventor 2:	Last Name: MEARES	First Name:	Middle Name or Initial:
Residence & Citizenship:	City: Davis	CLAUDE State/Foreign Country:	F.
Post Office Address:	Post Office Address:	CA City:	Country of Citizenship: US
uli Name of aventor 3:	421 Encina Avenue Last Name:	Davis First Name:	State/Country: Postal Code: CA 95616
esidence &	City: Low	ROBERT State/Foreign Country:	Middle Name or Initial: T. Kell
ost Office ddress:	Post Office Address:	CA Ciry:	_ 1 43
	286 Howe Avenue, #B	Sacramento	State/Country: Postal Code: CA 95825

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1		
Memor 1	Signature of Inventor 2	Signature of Inventor 3
LARRY M. ROSE Date	CLAUDE F. MEARES Date	ROBERT T. O'DIONNELL  Date 1/1/1/19

177364v

Tell trill trill their



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECE	EIPT DATE	FIRST NAMED APPLICANT	A	TORNEY DOCKET NO TITLE
09/181,896 :	10/28/98	ROSE		L.	023070-08790
: 020350   020350   TOWNSEND AND CREW   TWO EMBARCADERO CENTER EIGHTH FLOOR   SAN FRANCISCO CA 94111				NUT	ASSIGNED

1651

DATE MAILED:

02/09/99

# NOTICE OF INCOMPLETE REPLY (Filing Date Granted)

	The reply filed on		
Ü	1. The filing fee required by the Notice has not been received. The amount of \$ is due.		
	☐ 2. The surcharge of \$has not been received.		
	☐ 3. The oath or declaration for this nonprovisional application has not been received.		
	4. The oath or declaration for this nonprovisional application is not executed in compliance with 37 CFR 1.64(a) because:		
	☐ a. The inventor's signature is missing. ☐ b. The signature is missing for inventor(s)		
	c. The application is one filed under 37 CFR 1.42, 1.43, or 1.47, and the oath or declaration is not executed by the person(s) qualified to make the oath or declaration.		
	☐ 5. The cover sheet (37 CFR 1.151(c)(1)) for this provisional application has not been received.		
	DF RODERT TOWNELL as required by this Notice.		
	A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.		
	The period for reply remains as set forth in the Notice. You may, however, obtain an EXTENSION OF TIME under the provisions of 37 CFR 1.136(a) by filing a petition accompanied by the appropriate fee (37 CFR 1.17(a)).		
	Direct the reply and any questions about this notice to "Attention: Box Missing Parts."		

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

Attorney Docket No. 02307Q-087900 Client Ref. No.: UC 98-009-1

## POWER OF ATTORNEY BY ASSIGNEE

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA is the Assignee of the invention entitled: ANTIGENIC			
EPITOPES WITH LYM-1 REACTIVITY AND USES THEREOF, the specification of which X is attached hereto or			
was filed on as Application Serial No			
Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact			
all business in the Patent and Trademark Office connected therewith.			
Kevin L. Bastian, Reg. No. 34,774			
Gregory P. Einhorn, Reg. No. 38,440			
Timothy L. Smith, Reg. No. 35,367			
Timothy L. Smith, Reg. No. 35,367 Kenneth A. Weber, Reg. No. 31,677			
Send Correspondence to: Gregory P. Einhorn	Direct Telephone Calls to:		
Gregory P. Einhorn	(Name, reg. no., tele. no.)		
TOWNSEND and TOWNSEND and CREW LLP			
Two Embarcadero Center, 8th Floor	Gregory P. Einhorn		
San Francisco, CA 94111-3834	Reg. No.: 38,440		
	(415) 576-0200		
45 HOLE			
### #### #############################			
5. <u>n</u>			
50.00002 50.00002			
ti ii To francis			
Constitution of the consti	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA		
Deter			
Date:			
	(Signature)		
	(0.8.1)		
	Name:		
	Title:		

SF 173383 vi